APARAVI®

Case Study: Enabling AI Governance & Compliance in Fintech

Client Profile:

A global fintech platform providing AI-driven financial services for enterprise customers across banking, insurance, and investment sectors.

Challenge:

As this fintech provider began expanding its AI offerings to clients, it quickly recognized a critical gap: its customers' data environments were deeply fragmented and largely unprepared for AI implementation.

With data spread across unstructured file shares, outdated systems, and unmanaged cloud repositories, most customers lacked awareness of the growing web of AI-related governance and compliance mandates - ranging from GDPR and CCPA to new AI-specific frameworks like the EU AI Act and U.S. state-level regulations.

The risk? Poorly curated data entering AI pipelines could lead to biased results, lack of explainability, and exposure to non-compliance, putting both the fintech company and its clients at risk of regulatory violations, reputational damage, and litigation.

Solution: Partnering with Aparavi for Secure, Compliant AI Data Pipelines

To proactively address this challenge, the fintech company partnered with Aparavi to integrate the Aparavi Data Suite into its AI delivery model.

With Aparavi's help, they:

- Connected to Distributed Customer Data: Securely accessed and scanned customer environments without moving data, maintaining compliance with data sovereignty and security rules.
- Audited and Classified Critical Data: Used AI-assisted classification to identify sensitive information, proprietary financial data, PII, and high-risk content across environments.
- Normalized and Prepared AI-Ready Data: Standardized formats and ensured contextual integrity across datasets for accurate, fair model training and outputs.
- Built an Audit-Ready Trail: Delivered continuous monitoring and audit logs for full traceability - essential for AI governance, model explainability, and audit defense.

This approach provided the fintech company with a scalable, secure, and compliant way to curate and maintain datasets for AI use cases, ensuring both operational efficiency and regulatory readiness.

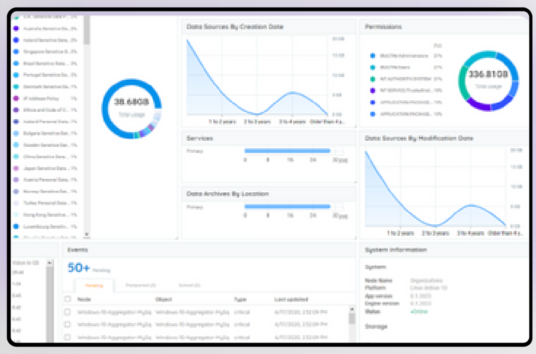
Results at a Glance

- AI governance controls embedded in every AI project
- Sensitive data identified and governed across customer systems
- Audit-ready logs and ongoing compliance reporting
- Drastically reduced data risk for both fintech provider and its clients
- Enabled compliant, explainable, and trustworthy AI outcomes

Why It Matters:

AI compliance isn't just about ticking legal boxes, it's about trust. By partnering with Aparavi, this fintech company ensured their AI solutions wouldn't just work - they'd work responsibly, earning trust from clients, regulators, and stakeholders alike.

[Aparavi Data Suite]



Aparavi Data Suite Workflow

- **Connect to Data Sources** - Access across cloud, on-prem, and hybrid environments
- **Scan & Index** - Identify and catalog unstructured and structured data
- **Normalize** - Unify formats, ensure data consistency
- **Classify** - Detect sensitive, financial, and high-risk data types
- **Visualize & Act** - Understand and act on insights in real-time
- **Maintain & Audit** - Enforce governance and maintain compliance posture